

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>AB-1162 US</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>					
INTERNATIONAL APPLICATION NO. <b>PCT/SE00/00544</b>		INTERNATIONAL FILING DATE <b>20 March 2000</b>		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>097936961</b>	
TITLE OF INVENTION <b>A RECEIVER TRANSPONDER FOR PROTECTED NETWORKS</b>					
APPLICANT(S) FOR DO/EO/US <b>EGNELL, Lars</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
<b>Items 11 to 20 below concern document(s) or information included:</b>					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information:					

U.S. APPLICATION NO. <b>09/936961</b>		INTERNATIONAL APPLICATION NO. <b>PCT/SE00/00544</b>		ATTORNEY'S DOCKET NUMBER <b>AB-1162 US</b>																																																																																			
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>          <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; border-bottom: 1px solid black; padding: 2px;"><b>\$1,000.00</b></td> <td style="width: 20%; border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td style="border-bottom: 1px solid black; padding: 2px;">Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30</td> <td style="border-bottom: 1px solid black; padding: 2px;">\$</td> </tr> </table> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; border-bottom: 1px solid black; padding: 2px;"><b>CLAIMS</b></td> <td style="width: 20%; border-bottom: 1px solid black; padding: 2px;"><b>NUMBER FILED</b></td> <td style="width: 20%; border-bottom: 1px solid black; padding: 2px;"><b>NUMBER EXTRA</b></td> <td style="width: 10%; border-bottom: 1px solid black; padding: 2px;"><b>RATE</b></td> <td style="width: 10%; border-bottom: 1px solid black; padding: 2px;">\$</td> <td style="width: 10%; border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td style="border-bottom: 1px solid black; padding: 2px;">Total claims</td> <td style="border-bottom: 1px solid black; padding: 2px;">12 - 20 =</td> <td style="border-bottom: 1px solid black; padding: 2px;">0</td> <td style="border-bottom: 1px solid black; padding: 2px;">x \$18.00</td> <td style="border-bottom: 1px solid black; padding: 2px;">\$</td> <td style="border-bottom: 1px solid black; padding: 2px;">.00</td> </tr> <tr> <td style="border-bottom: 1px solid black; padding: 2px;">Independent claims</td> <td style="border-bottom: 1px solid black; padding: 2px;">2 - 3 =</td> <td style="border-bottom: 1px solid black; padding: 2px;">0</td> <td style="border-bottom: 1px solid black; padding: 2px;">x \$80.00</td> <td style="border-bottom: 1px solid black; padding: 2px;">\$</td> <td style="border-bottom: 1px solid black; padding: 2px;">.00</td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="border-bottom: 1px solid black; padding: 2px;">+ \$270.00</td> <td style="border-bottom: 1px solid black; padding: 2px;">\$</td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"><b>\$1,000.00</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td> <td style="border-bottom: 1px solid black; padding: 2px;">+</td> <td style="border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;"><b>SUBTOTAL =</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"><b>\$1,000.00</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;">Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30</td> <td style="border-bottom: 1px solid black; padding: 2px;">\$</td> <td style="border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;"><b>TOTAL NATIONAL FEE =</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"><b>\$1,000.00</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td style="border-bottom: 1px solid black; padding: 2px;">\$</td> <td style="border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;"><b>TOTAL FEES ENCLOSED =</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"><b>\$1,000.00</b></td> <td style="border-bottom: 1px solid black; padding: 2px;"></td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;"></td> <td style="border-bottom: 1px solid black; padding: 2px;">Amount to be refunded:</td> <td style="border-bottom: 1px solid black; padding: 2px;">\$</td> </tr> <tr> <td colspan="4" style="border-bottom: 1px solid black; padding: 2px;"></td> <td style="border-bottom: 1px solid black; padding: 2px;">charged:</td> <td style="border-bottom: 1px solid black; padding: 2px;"><b>\$1,000.00</b></td> </tr> </table> <p style="margin-top: 10px;">a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p style="margin-top: 5px;">b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>19-2386</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p style="margin-top: 5px;">c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-2386</u>. A duplicate copy of this sheet is enclosed.</p> <p style="margin-top: 5px;">d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p style="margin-top: 10px;"><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <p style="margin-top: 10px;">SEND ALL CORRESPONDENCE TO:  <b>Michael Shenker</b>    Skjerven Morrill MacPherson LLP    25 Metro Drive, Suite 700    San Jose, CA 95110    Telephone: 408 453-9200    Facsimile: 408 453-7979</p> <div style="margin-top: 10px; text-align: right;">       SIGNATURE  <u>Michael Shenker</u>      NAME  <u>34,250</u>      REGISTRATION NUMBER   </div> <p style="text-align: center; margin-top: 10px;"><b>EXPRESS MAIL LABEL NO. EL699357503US</b></p>		<b>\$1,000.00</b>		Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30	\$	<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	\$		Total claims	12 - 20 =	0	x \$18.00	\$	.00	Independent claims	2 - 3 =	0	x \$80.00	\$	.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	\$	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,000.00</b>		<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+		<b>SUBTOTAL =</b>				<b>\$1,000.00</b>		Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$		<b>TOTAL NATIONAL FEE =</b>				<b>\$1,000.00</b>		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,000.00</b>						Amount to be refunded:	\$					charged:	<b>\$1,000.00</b>
<b>\$1,000.00</b>																																																																																							
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30	\$																																																																																						
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	\$																																																																																			
Total claims	12 - 20 =	0	x \$18.00	\$	.00																																																																																		
Independent claims	2 - 3 =	0	x \$80.00	\$	.00																																																																																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	\$																																																																																		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,000.00</b>																																																																																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+																																																																																			
<b>SUBTOTAL =</b>				<b>\$1,000.00</b>																																																																																			
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$																																																																																			
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,000.00</b>																																																																																			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$																																																																																			
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,000.00</b>																																																																																			
				Amount to be refunded:	\$																																																																																		
				charged:	<b>\$1,000.00</b>																																																																																		

09/936961

JUL 18 2001 18 SEP 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: EGNELL, Lars  
 Assignee: Cisco Systems (Sweden) AB  
 Title: A RECEIVER TRANSPONDER FOR PROTECTED NETWORKS  
 Serial No.: Unknown Filing Date: Herewith  
 Examiner: Unknown Group Art Unit: To Be Assigned  
 Docket No.: AB-1162 US

San Jose, California  
 September 18, 2001

BOX PCT  
 COMMISSIONER FOR PATENTS  
 Washington, D. C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

Before examination on the merits, please amend the above application as follows (a version with markings to show changes made appears at the end):

IN THE CLAIMS

1. (Unchanged) A receiver transponder to be used in an optical add and drop node connected in a two-fiber network, characterized by first and second optoelectric converters for converting received optical signals to electric signals and each having an optical input terminal and an output terminal, the first optoelectric converter having its optical input terminal connected to an optical fiber carrying light signals from a first direction for receiving the light signals and for converting them to electric signals and the second optoelectric converter having its optical input terminal connected to an optical fiber carrying light signals from a second direction opposite the first direction for receiving the light signals and converting them to electric signals, an electronic switch having two signal input terminals, a signal output terminal and a control input terminal, the two optoelectric converters connected with their output terminals to the two signal input terminals of the electronic switch, a signal on the control input terminal controlling the electronic switch to select one of the signal input terminals from which a signal existing on the selected one of the signal input terminals is transferred to the signal output terminal of the electronic switch, whereby the direction from which information on a channel terminated in the optical add and drop node is to be received can be chosen.

LAW OFFICES OF  
 SKJERVEN MORRILL  
 MACPHERSON LLP

25 METRO DRIVE  
 SUITE 700  
 SAN JOSE, CA 95110  
 (408) 453-9200  
 FAX (408) 453-7979

2. (Unchanged) A receiver transponder according to claim 1, characterized by an electronic reshaping circuit having an input terminal and an output terminal, the input terminal connected to the signal output terminal of the electronic switch for reshaping a signal output from the electronic switch.

3. (Unchanged) A receiver transponder according to claim 2, characterized in that the electronic reshaping circuit is also arranged to clean the signal output from the electronic switch from a supervisory channel.

4. (Unchanged) A receiver transponder according to claim 2, characterized in that the electronic reshaping circuit is also arranged to give a signal output from the electronic reshaping circuit on its output terminal a predetermined electric power.

5. (Amended) A receiver transponder according to claim 2, characterized by a laser having an input terminal, the output terminal of the electronic reshaping circuit connected to the input terminal of the laser, the laser producing a light signal provided to a client layer.

6. (Amended) A receiver transponder according to claim 2, characterized in that the output terminal of the electronic reshaping circuit is connected to an input terminal of a client layer.

7. (Unchanged) A protected two-fiber network comprising optical add and drop nodes connected by links to form a ring, first optical fibers connected to form a first ring and transmitting light signals in a first direction and second optical fibers connected to form a second ring parallel to the first ring and transmitting light signals in a second direction opposite the first direction, each optical add and drop node comprising a receiver transponder and a switch for choosing a direction from which information on a channel terminated in the considered optical add and drop node is to be received in the node, characterized in that at least one of the receiver transponders comprises two optoelectric converters for converting received optical signals to electric signals, a first one of the two optoelectric converters having an optical input terminal and an output terminal, the optical input terminal connected to one of the first optical fibers carrying light signals in the first direction, and a second one of the two optoelectric converters having an optical input terminal and an output terminal, the optical input terminal connected to one of the second optical fibers carrying light signals in the second direction, and that the switch is an electronic switch and has two signal input terminals, a signal output terminal and a control input terminal, the two optoelectric converters having their output terminals connected to the two signal input terminals of the electronic switch, a signal on the control input terminal controlling

the electronic switch to select one of the signal input terminals from which a signal existing thereon is transferred to the signal output terminal of the electronic switch.

8. (Unchanged) A protected network according to claim 7, characterized by an electronic reshaping circuit having an input terminal and an output terminal, the input terminal connected to the output terminal of the electronic switch for receiving and reshaping a signal output from the electronic switch.

9. (Unchanged) A protected network according to claim 8, characterized in that the reshaping circuit is also arranged to clean the signal output from the electronic switch from a supervisory channel.

10. (Unchanged) A protected network according to claim 8, characterized in that the reshaping circuit is also arranged to provide a reshaped signal output on its output terminal having a predetermined power.

11. (Amended) A protected network according to claim 8, characterized by a laser having an input terminal and an optical output terminal, the output terminal of the electronic reshaping circuit connected to the input terminal of the laser and the laser producing on its optical output terminal a light signal provided to a client layer.

12. (Amended) A protected network according to claim 8, characterized in that the output terminal of the electronic reshaping circuit is connected to an input terminal of a client layer.

LAW OFFICES OF  
SKJERVEN MORRELL  
MACPHERSON LLP

25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

REMARKS

Claims 1-12 are pending. Claims 5, 6, 11, and 12 have been amended to remove the multiple dependencies. Entry of this Preliminary Amendment is respectfully requested.

EXPRESS MAIL LABEL NO:

*EL699357503US*

Respectfully submitted,

*Michael Shenker*

Michael Shenker  
Attorney for Applicant  
Reg. No. 34,250

0903601.012002

LAW OFFICES OF  
SHERYEN HOBRELL  
MACHERSON LLP

25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

Version with markings to show changes made

5. (Amended) A receiver transponder according to claim 2 [any of claims 2 - 4], characterized by a laser having an input terminal, the output terminal of the electronic reshaping circuit connected to the input terminal of the laser, the laser producing a light signal provided to a client layer.

6. (Amended) A receiver transponder according to claim 2 [any of claims 2 - 5], characterized in that the output terminal of the electronic reshaping circuit is connected to an input terminal of a client layer.

11. (Amended) A protected network according to claim 8 [any of claims 8 - 10], characterized by a laser having an input terminal and an optical output terminal, the output terminal of the electronic reshaping circuit connected to the input terminal of the laser and the laser producing on its optical output terminal a light signal provided to a client layer.

12. (Amended) A protected network according to claim 8 [any of claims 8 - 11], characterized in that the output terminal of the electronic reshaping circuit is connected to an input terminal of a client layer.

LAW OFFICES OF  
SKUTVORN MORRELL  
MACPHERSON LLP

25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 451-9200  
FAX (408) 453-7979

## A RECEIVER TRANSPONDER FOR PROTECTED NETWORKS TECHNICAL FIELD

The present invention relates to a receiver transponder used in an optical add and drop node and capable of handling optical switching for protecting paths in a network, in which the add and drop node is intended to be used, and also to a protected network in which such an optical add and drop node is used.

### BACKGROUND

Network availability is of significant importance in tele- and datacommunication networks evolving nowadays. One way of improving the availability of such networks comprises building protection features into the networks such that efficient means are provided to switch traffic to a different path in the case of a failure somewhere in a path used. With the rapid development of DWDM (Dense Wavelength Division Multiplexing) and of general and special techniques of building optical networks using e.g. different forms of WDM (Wavelength Division Multiplexing), there is a growing interest in means to handle protection in optical transmission systems and optical networks.

In Figs. 1a - 1c schematics of optical networks are shown which have various levels of ring protection in the optical layer. Thus, the illustrated networks all have a ring structure and contain Optical Add and Drop (Add/Drop) Multiplexer (OADM) blocks 1, also called optical add and drop nodes or add/drop nodes, which contain the filters and couplers necessary to add, drop and block wavelengths which are terminated in the node. Each such OADM block 1 is connected to a left OADM block and to a right OADM block through pairs 3 of optical fibers, one pair outgoing from the considered node in a left hand or western direction and a second pair outgoing in a right hand or eastern direction. In the scheme of Fig. 1a each OADM block 1 is connected to a transmitter-responder or transponder (TP) 5 and to a receiver (R) 7 through an optical switch 9. The transponder 5 transmits the wavelength signal in both directions and a block receiving the wavelength signal can choose the direction from which to receive that wavelength channel using its receiver 7 by setting its switch 9 accordingly. The receiver 7 receives light signals and converts them to for example electrical signals. In the network as illustrated in Fig. 1a there are two possible different paths of transmitting information from one node to another way, a first path extending in a clockwise direction and a second path extending in counter-clockwise direction. Both paths can be used simultaneously, the first path for some channels and the second for other channels. However, normally only one of the two possible paths will be used for all communication from one node to another node. When a fault occurs in such path, the other path can be used, this feature providing the protection of the network. Such a protected network can handle single faults in an optical fiber, in the cable holding the pair of fibers and connecting the OADM blocks or in the OADM blocks. In a special kind of control of such networks there is always one inactive link between two adjacent blocks whereas all the other links are used for



transmission. The position of the inactive link can then be displaced when a fault occurs.

The optical network as illustrated by the scheme of Fig. 1b gives the same level of protection as that of Fig. 1a but may allow a more efficient use of transmitter power and a reuse of wavelengths in the ring architecture. Here also the transponders 7 are  
5 connected to the OADM block 1 through optical switches 11, allowing the direction to be chosen, in which the respective transponder will transmit. There may, however, also be concerns about the reliability of the transmitter optical switches 11 and the possibility to monitor the health of the protection path. In the optical network of Fig. 1c separate transponders 5', 5" are provided for transmitting in each direction, this layout not  
10 requiring any transmitter optical switches. In this third network scheme also faults in a transmitter or in a transponder can be mitigated.

It is a feature common to all the schemes as discussed above that a switching function on the receiving end is required in order to choose the direction from which the wavelength is to be received (the switch 9). A natural conclusion is then to let a simple  
15 optical space switch handle this function, which may be an efficient solution in the type of WDM systems which have up to now been introduced on the market. These systems are, however, primarily intended for long distance applications and the system architectures are typically based on optical amplifiers as fundamental building blocks and a separate wavelength channel is typically used for supervisory signalling. In metropolitan  
20 and wide area networks, which are more short haul type applications by their nature, other more cost efficient system and technology solutions have to be found, while the important system functions still have to be retained. These solutions would then preferably not be based on optical amplifiers what implies that it will be imperative to minimize the attenuation between all ports in the node. Furthermore, it becomes im-  
25 portant to take into account all the network functions that need to be implemented in connection with the "optical switch" used (e.g. the switch 9 in Figs. 1a - 1c). One such natural way to implement the receiving end is illustrated by the schematic block diagram of a node or OADM block of Fig. 2, only showing the devices necessary for receiving in the node.

30 The wavelength channels from other OADM blocks, arriving to the considered node from the left and the right directions respectively, arrive at a left input fiber 21 and a right input fiber 23 as illustrated in Fig. 2. From these signals a portion of the optical power is extracted using optical tapping couplers 25, 27 connected to the respective input fiber. The extracted signals are fed to optical-to-electrical converters 29, 31 converting  
35 the instant optical power to electric power representing the optical signal. The average power or the power levels of the two wavelength channels can then be measured as indicated by the outputs 33, 35. Also, an overlaid embedded supervisory data channel can be detected in the electric signals by feeding the detected instant power signal to a supervisory channel receiver or supervisory channel receivers 37. The detected power

5

20

25

30

39

In the published European patent application No. 0 689 309 an add/drop node of a

two-fiber ring network using a time division transmission method is disclosed. In selecting a light signal from one of the parallel paths a switch or a receiving control section can be used. The receiving control section appears to make "physical grouping and distribution of signal lines" and it is said to have the function of "allocating a differing number of channels to the clockwise and counterclockwise directions" and it "controls what signal from either the clockwise or counterclockwise transmission path is to received". Thus, no details are disclosed on the internal structure of the receiving control section. In the published European patent application No. 0 668 674 a fiber network having add/drop nodes is disclosed.

#### 10 SUMMARY

It is an object of the invention to provide an optical add and drop node comprising a receiver transponder which has switching capabilities with a high reliability and which can be easily monitored.

A receiver transponder as outlined hereinafter deals with all the above issues in a very efficient manner. The wavelength channels, which are to be dropped in the node from the left and the right fiber directions, are converted by their respective optical-to-electrical (O/E) converters. These converters can be designed to have a suitable sensitivity and a suitable dynamic range for the actual application. The converters can also be used to protect for one another and they constitute a natural place to detect channel signal power and a supervisory channel at a close to zero cost.

The output terminals of the O/E converters are connected to an electronic high frequency (HF) switch which handles the protection switching and which can be implemented at a low cost and using very reliable components such as a FET attenuator in each arm. The output from the switch can be monitored for further definition of the health of the presently received signal before it enters a block in which the signal is reshaped, cleansed from the supervisory channel and given the proper drive levels for the following laser. This laser can be a low cost type since the signal is now amplified and reshaped and hence is relatively insensitive to the conditions between the laser and the client equipment. The signal from the laser can thus travel a significant distance through an optical fiber to the client receiver or sustain other forms of attenuation and still have a signal power which is sufficient for reliable detection. If an electrical output signal would be desirable, it can of course also be accommodated from the output of the reshaping/driver circuit as in Fig. 2. This may also be a suitable point to extract a signal for monitoring the performance of client channels.

Additional objects and advantages of the invention will be set forth in the description which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The objects and advantages of the invention may be realized and obtained by means of the methods, processes, instrumentalities and combinations particularly pointed out in the appended claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

While the novel features of the invention are set forth with particularly in the appended claims, a complete understanding of the invention, both as to organization and content, and of the above and other features thereof may be gained from and the  
5 invention will be better appreciated from a consideration of the following detailed description of non-limiting embodiments presented hereinbelow with reference to the accompanying drawings, in which:

Fig. 1a is a diagram of a network which can handle a single fault in an optical fiber, in the cable holding a pair of fibers and connecting the OADM blocks or in an  
10 OADM block,

Fig. 1b is a diagram of a network similar to that of Fig. 1a which gives the same level of protection and allows a more efficient use of transmitter power and a reuse of wavelengths in the network,

Fig. 1c is a diagram of a network similar to that of Fig. 1a which gives a better  
15 level of protection and which can handle a single fault in a transmitter or in a transponder,

Fig. 2 is a block diagram of the receiving side using an optical switch in an optical add and drop node in a network as illustrated in any of Figs. 1a - 1c, and

Fig. 3 is diagram of a network using an electric switch in an optical add and drop  
20 node in a network as illustrated in any of Figs. 1a - 1c.

## DETAILED DESCRIPTION

In Fig. 3 the receiving part of an optical add and drop node is shown. The optical signals enter the node at an input left fiber 21 and an input right fiber 23. The left input fiber is connected to a left optical-to-electrical or opto-electronic (O/E) converter 51 and  
25 the right input fiber is connected to a right opto-electronic (O/E) converter 53. In the converters 51, 53 the incoming light signals are converted to electrical signals such as by sensing the instantaneous light power of the incoming signals and representing the sensed power by an electric signal. The O/E converters can be designed to have a suitable sensitivity and dynamic range in order to correctly convert the light signals to be  
30 received. Each O/E converter 51, 53 has output terminals 57, 59 providing the electric signals which represent the detected instantaneous channel signal power, from which the average power and a signal carrying a supervisory channel can be detected by monitoring circuits, not shown.

The main output terminals of the O/E converters 51, 53 are connected to an  
35 electronic high frequency (HF) switch 61 controlled by a control signal input on a control input terminal 63. The HF switch 61 handles the protection switching and it can be built at a low cost using very reliable components such as FETs (Field Effect Transistors). A portion of the output signal of the switch 61 is provided to monitoring circuits as represented by the electric line 65, which circuit are used for defining the health of the

presently received signal. The other portion of the electric output signal is provided to a reshaping circuit block 67 in which the signal is reshaped, is cleansed from a supervisory channel and is given a proper power level for the following laser 69. The reshaped signal is provided to the laser 69 which can be a low cost type for typical applications. The  
5 optical signal output from the laser 69 can travel a significant distance through a fiber 71 to a client receiver or sustain other forms of attenuation and still have a sufficient signal power for reliable detection. If an electrical output signal would be desirable, it can of course be provided from the output of the reshaping circuit 67 as represented by the electric line 73. From such an electrical output signal a signal can be extracted for  
10 performance monitoring of client channels.

While specific embodiments of the invention have been illustrated and described herein, it is realized that numerous additional advantages, modifications and changes will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to the specific details, representative devices and illustrated examples shown  
15 and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as defined by the appended claims and their equivalents. It is therefore to be understood that the appended claims are intended to cover all such modifications and changes as fall within a true spirit and scope of the invention.

0055995.012000

## CLAIMS

1. A receiver transponder to be used in an optical add and drop node connected in a two-fiber network, **characterized by** first and second optoelectric converters for converting received optical signals to electric signals and each having an optical input terminal and an output terminal, the first optoelectric converter having its optical input terminal connected to an optical fiber carrying light signals from a first direction for receiving the light signals and for converting them to electric signals and the second optoelectric converter having its optical input terminal connected to an optical fiber carrying light signals from a second direction opposite the first direction for receiving the light signals and converting them to electric signals, an electronic switch having two signal input terminals, a signal output terminal and a control input terminal, the two optoelectric converters connected with their output terminals to the two signal input terminals of the electronic switch, a signal on the control input terminal controlling the electronic switch to select one of the signal input terminals from which a signal existing on the selected one of the signal input terminals is transferred to the signal output terminal of the electronic switch, whereby the direction from which information on a channel terminated in the optical add and drop node is to be received can be chosen.

2. A receiver transponder according to claim 1, **characterized by** an electronic reshaping circuit having an input terminal and an output terminal, the input terminal connected to the signal output terminal of the electronic switch for reshaping a signal output from the electronic switch.

3. A receiver transponder according to claim 2; **characterized in** that the electronic reshaping circuit is also arranged to clean the signal output from the electronic switch from a supervisory channel.

4. A receiver transponder according to claim 2, **characterized in** that the electronic reshaping circuit is also arranged to give a signal output from the electronic reshaping circuit on its output terminal a predetermined electric power.

5. A receiver transponder according to any of claims 2 - 4, **characterized by** a laser having an input terminal, the output terminal of the electronic reshaping circuit connected to the input terminal of the laser, the laser producing a light signal provided to a client layer.

6. A receiver transponder according to any of claims 2 - 5, **characterized in** that the output terminal of the electronic reshaping circuit is connected to an input terminal of a client layer.

7. A protected two-fiber network comprising optical add and drop nodes connected by links to form a ring, first optical fibers connected to form a first ring and transmitting light signals in a first direction and second optical fibers connected to form a second ring parallel to the first ring and transmitting light signals in a second direction opposite the first direction, each optical add and drop node comprising a receiver transponder and a

switch for choosing a direction from which information on a channel terminated in the considered optical add and drop node is to be received in the node, **characterized in** that at least one of the receiver transponders comprises two optoelectric converters for converting received optical signals to electric signals, a first one of the two optoelectric  
s converters having an optical input terminal and an output terminal, the optical input terminal connected to one of the first optical fibers carrying light signals in the first direction, and a second one of the two optoelectric converters having an optical input  
terminal and an output terminal, the optical input terminal connected to one of the second  
optical fibers carrying light signals in the second direction, and that the switch is an  
10 electronic switch and has two signal input terminals, a signal output terminal and a control input terminal, the two optoelectric converters having their output terminals connected to the two signal input terminals of the electronic switch, a signal on the control input terminal controlling the electronic switch to select one of the signal input terminals from which a signal existing thereon is transferred to the signal output terminal  
15 of the electronic switch.

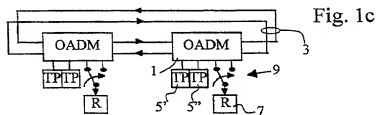
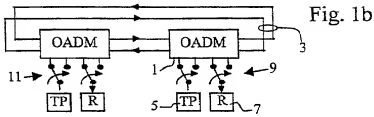
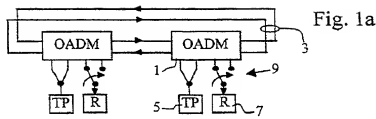
8. A protected network according to claim 7, **characterized by** an electronic reshaping circuit having an input terminal and an output terminal, the input terminal connected to the output terminal of the electronic switch for receiving and reshaping a signal output from the electronic switch.

20 9. A protected network according to claim 8, **characterized in** that the reshaping circuit is also arranged to clean the signal output from the electronic switch from a supervisory channel.

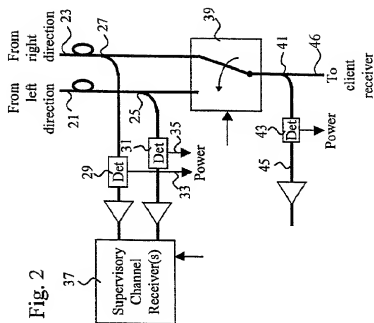
10. A protected network according to claim 8, **characterized in** that the reshaping circuit is also arranged to provide a reshaped signal output on its output terminal having a  
25 predetermined power.

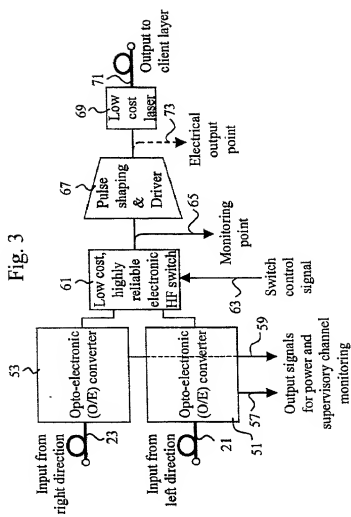
11. A protected network according to any of claims 8 - 10, **characterized by** a laser having an input terminal and an optical output terminal, the output terminal of the electronic reshaping circuit connected to the input terminal of the laser and the laser producing on its optical output terminal a light signal provided to a client layer.

30 12. A protected network according to any of claims 8 - 11, **characterized in** that the output terminal of the electronic reshaping circuit is connected to an input terminal of a client layer.









PTO/GB/01 (10-01)

Approved for use through 10/31/2002. OMB 0551-0002

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
with Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

AS-1162 US

First Named Inventor

EGNELL, LARS

**COMPLETE IF KNOWN**

Application Number

09/936,961

Filing Date

Art Unit

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A RECEIVER TRANSPONDER FOR PROTECTED NETWORKS**

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

03/20/2000

as United States Application Number or PCT International

Application Number

PCT/SE00/00544

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
9900991-2	Sweden	03/18/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20521. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20521.

PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☒ Customer Number or Bar Code Label 24251 OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name Lars  
(first and middle [if any])Family Name EGNELL  
or SurnameInventor's  
Signature Lars EgnellDate Jan. 14, 2002

Saltsjobaden

Sweden

Swedish

Residence: City

State

Country

Citizenship

Klubbvagen 14

Mailing Address

S-133 37 Saltsjobaden

City

State

ZIP

Sweden  
Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])Family Name  
or SurnameInventor's  
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

City

State

ZIP

Country

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

06936002-012002